

**Technogym S.p.A.**



**The Wellness Company**

*Internal Dealing Policy*

*of Technogym S.p.A.*

## 1. Introduction

On the basis of:

- (a) Article 19 of the Regulation of the European Parliament and Council of the European Union of April 16, 2014, No. 596/2014 (hereafter, the “**MAR Regulation**”);
- (b) the Delegated Regulation of the European Commission of December 17, 2015, No. 2016/522 (hereafter, the “**522 Delegated Regulation**”);
- (c) the Delegated Regulation of the European Commission of March 10, 2016, No. 2016/523 (hereafter, the “**523 Delegated Regulation**”); and
- (d) Articles 152-*quinquies*.1 *et seq.* of the Implementing Regulation of Legislative Decree No. 58/1998 (the “**TUF**”) concerning the regulation of issuers adopted by Consob with resolution No. 11971 of May 14, 1999, as amended (the “**Issuers’ Regulation**”);

the board of directors of Technogym S.p.A. (the “**Company**”) adopted this internal dealing policy (the “**Policy**”).

The Policy applies to the Covered Persons, the Persons Connected to the Covered Persons, the Covered Shareholders and the Persons Connected to the Covered Shareholders, as defined below (together, the “**Addressees**”).

## 2. ADDRESSEES

### 2.1 Covered Persons

For the purposes of this Policy, “**Covered Persons**” are:

- (a) members of the Board of Directors and Board of Statutory Auditors of the Company; and
- (b) Company management and executives with regular access to insider information and decision-making powers which may impact the Company’s performance and future prospects, as appointed by the Board of Directors of the Company or by the individual(s) assigned by the board; any such assignments shall immediately be communicated to the Appointed Officer (as defined below).

### 2.2 Persons Connected to the Covered Persons

For the purposes of this Policy, “**Persons Connected to the Covered Persons**” are:

- (a) the spouse or civil partner in accordance with Italian law;
- (b) supported children in accordance with Italian law;

- (c) relatives cohabiting for at least one year at the date of the Relevant Transaction;
- (d) legal persons, trusts or partnerships:
  - whose managerial responsibilities are undertaken by a Covered Person or by one of the persons specified in points (a), (b) and (c);
  - directly or indirectly controlled by a Covered Person or one of the persons indicated at points (a), (b) and (c);
  - set up on behalf of a Covered Person or one of the persons indicated at points (a), (b) and (c);
  - whose economic interests are substantially equivalent to those of a Covered Person or one of the persons indicated at points (a), (b) and (c).

### **2.3 Covered Shareholders**

For the purpose of this Policy, “**Covered Shareholders**” refers to those who hold a shareholding, calculated pursuant to Article 118 of the Issuers’ Regulation, equal to at least 10% of the Company’s share capital, represented by shares with voting rights, as well as any other party that controls the Company.

### **2.4 Persons Connected to the Covered Shareholders**

For the purposes of this Policy, “**Persons Connected to the Covered Shareholders**” are:

- (a) the spouse who is not legally separated, supported children, including those of the spouse, and if cohabiting for at least one year, parents, relatives and in-laws of the Covered Shareholders;
- (b) the legal persons, partnerships and trusts in which a Covered Shareholder or one of the persons indicated at point (a) above is responsible, alone or jointly with others, for the management function;
- (c) the legal persons directly or indirectly controlled by a Covered Shareholder or one of the persons indicated at point (a) above;
- (d) the partnerships whose economic interests are substantially equivalent to those of a Covered Shareholder or one of the persons indicated at point (a) above;
- (e) the trusts set up for the benefit of a Covered Shareholder or one of the persons indicated at point (a) above.

### **3. MATTERS REQUIRING DISCLOSURE AND COMMUNICATION**

#### **3.1 Transactions of Covered Persons requiring disclosure and communication**

For purposes of this Policy, the transactions that the Covered Persons and the Persons Connected to the Covered Persons are required to disclose pursuant to the subsequent Article 5.1 (the “**Transactions of Covered Persons**”) concern:

- (i) ordinary shares issued by the Company (the “**Shares**”);
- (ii) debt instruments;
- (iii) the derivative financial instruments related to the financial instruments at points (i) and (ii); and
- (iv) other financial instruments related to the financial instruments at points (i) and (ii), meaning: (a) i) contracts or rights of subscription, acquisition or disposal of securities; (b) derivative financial instruments on securities; (c) if the securities are convertible or exchangeable debt instruments, the securities into which the debt instruments may be converted or with which they may be exchanged; (d) instruments issued or guaranteed by the issuer or by the guarantor of the securities and whose market price may significantly influence the price of the securities or vice versa; (e) if the securities are securities equivalent to shares, the shares represented by such securities as well as all other securities equivalent to such shares;

and relating to:

- (a) their acquisition, disposal, short sale, subscription or exchange;
- (b) the acceptance or the exercise of option rights, including an option right granted to Covered Persons or to employees as part of their remuneration, and the disposal of shares arising from the exercise of an option right;
- (c) the subscription to exchange contracts related to stock indices or the exercise of such contracts;
- (d) transactions in derivative instruments or related instruments, including cash settlement transactions;
- (e) the subscription to a contract for difference related to a financial instrument of the Company;
- (f) the acquisition, disposal or exercise of rights, including put and call options, and warrants;
- (g) the subscription to a capital increase or debt security issuance;
- (h) transactions in derivative instruments and financial instruments related to a debt security, including credit default swaps;
- (i) conditional transactions subject to conditions and the actual execution of the transactions;

- (j) the automatic or non-automatic conversion of a financial instrument into another financial instrument, including the exchange of bonds convertible into shares;
- (k) disbursements and donations made or received and inheritances received;
- (l) assignment for collateral or lending of financial instruments by or on behalf of a Covered Person or a Person Connected to the Covered Person.
- (m) transactions carried out by those who undertake or carry out transactions on a professional basis, or by anyone else on behalf of or in favor of a Covered Person or a Person Connected to the Covered Person, even when discretion is exercised;
- (n) transactions executed by third parties as part of an asset management mandate or of an individual portfolio on behalf of or for the benefit of a Covered Person or a Person Connected to a Covered Person;
- (o) transactions made in products, baskets and index-based derivative instruments, if so required by Article 19 of the MAR Regulation;
- (p) transactions executed in shares or units of investment funds, including alternative investment funds (AIF) as per Article 19 of the MAR Regulation;
- (q) transactions executed by the manager of an AIF in which a Covered Person or a Person Connected to a Covered Person has invested, if provided for by Article 19 of the MAR Regulation;
- (r) transactions carried out under a life insurance policy, defined in accordance with Directive 2009/138/EC of the European Parliament and Council, in which: (i) the policyholder is a Covered Person or a Person Connected to a Covered Person; (ii) the investment risk is to be borne by the policyholder; (iii) the policyholder has the right or the discretion to make investment decisions in relation to specific instruments covered by the life insurance in question, or to undertake transactions concerning specific instruments of such life insurance.

For the purposes of letter (l) it is not necessary to notify the assignment for collateral of financial instruments or another analogous guarantee, related to the deposit of financial instruments in a custody account, unless and for as long as such assignment for collateral or other analogous guarantee is for the purpose of obtaining a specific credit facility.

For the purposes of letters (o) to (q) it is not necessary to notify the transactions concerning financial instruments related to shares or debt instruments of the Company set out in the stated paragraphs where, at the time of the transaction, one of the following conditions is met:

- (i) the financial instrument involved in the transaction is a unit or a share of a mutual investment fund in which the Shares or the debt instruments do not exceed 20% of the assets held by the mutual investment fund;
- (ii) the financial instrument involved in the transaction presents an exposure to a portfolio of assets in which the exposure to the Shares or the debt instruments does not exceed 20% of the portfolio assets; or

- (iii) the financial instrument involved in the transaction is a unit or share of a mutual investment fund or presents an exposure to a portfolio of assets and the Covered Person or the Person Connected to a Covered Person does not know, nor could know, the composition of the investments or the exposure of this mutual investment fund or portfolio of assets in relation to the Shares or to the debt instruments of the issuer, and in addition this person has not been induced to consider that the Shares or the debt instruments exceed the thresholds established at points (i) or (ii).

### **3.2 Transactions of Covered Persons exempt from disclosure and communication**

The Transactions of Covered Persons whose total amount does not reach Euro 20,000.00 (twenty thousand/00) over the course of a calendar year are not subject to the communication obligations under this Policy. Such communication obligations however apply to all transactions carried out subsequently to the reaching of this amount over the course of a calendar year.

### **3.3 Transactions of Covered Shareholders requiring disclosure and communication**

For the purpose of this Policy, the transactions that the Covered Shareholders and the Persons Connected to the Covered Shareholders are required to notify pursuant to Article 5.3 below (the “**Transactions of Covered Shareholders**” and, jointly with the Transactions of Covered Persons, the “**Relevant Transactions**”) include the acquisition, sale, subscription and exchange of Shares and financial instruments connected to Shares, meaning: (a) the financial instruments that make it possible to subscribe, acquire or transfer the Shares, (b) the debt instruments convertible into Shares or exchangeable with them, (c) the derivative financial instruments on the Shares pursuant to Article 1, paragraph 3 of the TUF and (d) the other financial instruments equivalent to Shares representing such Shares.

### **3.4 Transactions of Covered Shareholders exempt from disclosure and communication**

The following are not subject to the communication obligations under this Policy: (i) the Transactions of Covered Shareholders whose total amount does not reach Euro 20,000.00 (twenty thousand/00) by the end of the year; subsequent to each communication, the transactions whose total amount does not reach a value of a further Euro 20,000.00 (twenty thousand/00) by the end of the year are not communicated. Please note that for financial instruments connected to Shares, the amount is calculated with reference to the underlying Shares; and (ii) the transactions carried out by the Covered Shareholder with the Persons Connected to a Covered Shareholder.

## **4. THE APPOINTED OFFICER AND DISCLOSURE OBLIGATIONS**

- 4.1** The officer appointed to receive, manage and disclose to the public information concerning the Relevant Transactions is identified as the Company’s corporate affairs manager (the “**Appointed Officer**”).

- 4.2** The Appointed Officer, also through his/her delegates, prepares and updates the list of the names of Covered Persons (also identified pursuant to Article 2.1 (b) above), the Persons Connected to the Covered Persons, the Covered Shareholders and the Persons Connected to the Covered Shareholders, and informs all Addressees of this Policy, sending them a copy.
- 4.3** On receipt of the Policy, the Covered Persons and the Covered Shareholders must in a timely manner: (a) sign an acknowledgement and acceptance declaration, prepared in accordance with the form at Annex 1 attached to this Policy, also declaring their commitment to inform Connected Persons of the disclosure obligations of the Transactions of Covered Persons and the Transactions of Covered Shareholders, respectively, as well as ensuring that the Connected Persons undertake all necessary actions in order to ensure precise compliance with these obligations; (b) return this declaration in a timely manner to the Appointed Officer according to one of the means set out at Article 4.4 below.
- 4.4** The Covered Persons and the Covered Shareholders are required to communicate to the Company details upon Relevant Transactions carried out and upon those carried out by Connected Persons, in accordance with Articles 5.1 and 5.3, respectively, below, either by:
- (i) hand delivery of the communication addressed to the Appointed Officer at the Company's registered office; or
  - (ii) sending of the communication addressed to the Appointed Officer by e-mail (in pdf format) at the e-mail address published in the "Internal Dealing" section of the Company's website.
- 4.5** The Appointed Officer may request from Covered Persons or Covered Shareholders any information, clarification and/or supplement required, also concerning Connected Persons, necessary and/or useful for implementation of this Policy. The addressee Covered Person or Covered Shareholder shall respond to the Appointed Officer in a timely manner and however in such time as to ensure compliance with this Policy.
- 4.6** The Appointed Officer may liaise with the corporate affairs department or other parties designated for this purpose by the Appointed Officer in execution of the role.

## **5. FULFILMENT DEADLINES AND MEANS OF DISCLOSURE OBLIGATIONS TO CONSOB, THE COMPANY AND THE MARKET**

### **5.1 Fulfilment deadlines and means of disclosure obligations of Covered Persons**

- 5.1.1. In accordance with the applicable regulation:

- (i) Covered Persons and the Persons Connected to the Covered Persons are required to notify Consob and the Company of the Transactions of Covered Persons carried out on their behalf within 3 working days according to the national calendar (therefore with the exclusion of Saturdays, Sundays and national holidays) (“**Working Days**”) from the execution of the Transaction of Covered Persons, through filling out, signing and sending the form at Annex 2, according to the means established by applicable law and regulations;
  - (ii) the Company ensures that the information communicated in accordance with point (i) above is announced to the market in a timely manner and not beyond 3 Working Days after the execution date of the Transaction of the Covered Person, according to the means established by applicable law and regulations.
- 5.1.2. In order to ensure the fulfilment of the legal obligations set out at Article 5.1.1 above, in accordance with this Policy, Covered Persons should communicate to the Company the Transactions of Covered Persons carried out by them or by the Persons Connected to the Covered Persons through filling out, signing and sending the form at Annex 2 within 1 Working Day from the execution date of the Transaction of the Covered Person, addressing it to the Appointed Officer, through one of the means established at Article 4.4.
- 5.1.3. Subject to that established by Article 5.1.1 above, the Covered Persons may request the Company to directly on their behalf relay the communication of the Transactions of Covered Persons to Consob. In this case, the Company will fulfil on behalf of Covered Persons the communication obligation to Consob set out in Article 5.1.1 above, according to the terms therein indicated, through sending the information received from the Covered Person in accordance with the terms established by the previous Article 5.1.2, according to the means established by law and regulations.
- 5.1.4. The Company will inform the market of the communications received, as indicated in Article 5.1.1 above, sending the form at Annex 2 through transmission to the authorized storage mechanism and publication on its website in a specific “Internal Dealing” section, in addition to any additional means established by applicable law or regulations.

## **5.2 Additional obligations of Covered Persons**

In accordance with Article 19, paragraph 5 of the MAR Regulation, all Covered Persons should notify in writing Persons Connected to the Covered Persons of their obligations under Article 19 of the MAR Regulation and maintain a copy of such notifications.

## **5.3 Fulfilment deadlines and means of disclosure obligations of the Covered Shareholders**

- 5.3.1. Pursuant to applicable regulations, Covered Shareholders are required to disclose the Transactions of Covered Shareholders carried out by them and by the Persons Connected to the Covered Shareholders by the end of the 15th day of the month subsequent to that in which the Transaction of Covered Shareholders was carried out, through filling out, signing and sending the form at Annex 3:

- (i) to Consob via: (a) fax to the number 06.84.77.757 or certified email to the address consob@pec.consob.it (if the Covered Shareholder is required to have certified email) or email to the address protocollo@consob.it or with other means established by Consob; or (b) the procedure used by the Company pursuant to Article 65-*septies* of the Issuers' Regulation for the storage and filing of information, if there is an agreement in that sense with the Company.
- (ii) to the market: (a) by sending it to 2 news agencies, or (ii) by relying on an SDIR (system for the disclosure of regulated information), or (c) if carried out by the Company on their behalf, when agreed with the Company, by sending the form pursuant to Annex 3 in pdf format with the means established by applicable law or regulations.

5.3.2. The Covered Shareholders may request the Company to directly on their behalf relay the communication of the Transactions of Covered Shareholders to Consob and to the market. In this case, the Covered Shareholders, pursuant to this Policy, are required to disclose to the Company the Transactions of Covered Shareholders carried out by them and by the Persons Connected to the Covered Shareholders through filling out, signing and sending the form at Annex 3 by the end of the 10th day of the month subsequent to that in which the Transaction of Covered Shareholders was carried out, addressing it to the Appointed Officer, through one of the means indicated at Article 4.4. On behalf of Covered Shareholders, the Company will fulfil the obligation of communication to Consob and the market pursuant to Article 5.3.1 above by the end of the trading day subsequent to that on which it received the information from Covered Shareholders, through its transmission pursuant to and with the means indicated, respectively, at Articles 5.1.3 and 5.1.4 above.

#### **5.4 Additional obligations of Covered Shareholders**

In accordance with Article 152-*octies*, paragraph 6 of the Issuers' Regulation, all Covered Shareholders should notify in writing Persons Connected to the Covered Shareholders of their obligations under this Policy and maintain a copy of such notifications.

### **6. LIMITATIONS ON THE CARRYING OUT OF TRANSACTIONS OF COVERED PERSONS (BLOCKING PERIODS)**

**6.1** The Covered Persons are prohibited from carrying out - on their own behalf or on behalf of third parties, directly or through nominees - Transactions of Covered Persons in the 30 (thirty) calendar days preceding the communication to the market of the approval, by the Board of Directors, of the annual financial statements, of the half-year report and of the interim reports, also those prepared on a voluntary basis, that the company is required to, or has decided to, make public according to (i) the rules of the regulated market, multilateral trading facility or organized trading facility, on which the shares of the Company have been admitted for trading, (ii) Italian law; or (iii) any requests by Consob ("**Blocking Period**").

- 6.2** In derogation to that established by Article 6.1 above, the Board of Directors or any appointed party/subordinate may permit Covered Persons to execute Transactions of Covered Persons, on their own behalf or on behalf of third parties, directly or indirectly, during the Blocking Period, in the following cases:
- (a) based on a case-by-case assessment during exceptional conditions, such as serious financial difficulties that necessitate the immediate sale of Shares, which the Company will assess in accordance with Article 8 of the 522 Delegated Regulation;
  - (b) by reason of the trading characteristics in the case of Transactions of Covered Persons executed simultaneously or in relation to an employee share-based or savings plan, a security or an option on shares, or Transactions of Covered Persons in which the interest of the beneficiary in the security in question is not subject to changes, including in the cases established by Article 9 of the 522 Delegated Regulation.
- 6.3** In accordance with Article 6.2 above, Covered Persons must demonstrate that the Transaction of the Covered Person may not be carried out at a differing time than the Blocking Period and, specifically with regard to the situations at Article 6.2 a), is required, before any trading during the Blocking Period, to request authorization from the Company to immediately sell the Shares during the Blocking Period. The reasoned request, to be made in writing to the Appointed Officer, who sends it to the Chairman of the Board of Directors and Chief Executive Officer as far as possible in advance, should contain a description of the Transaction of the Covered Person and the reason for which the sale of the Shares is the only reasonable course of action to obtain the funding necessary.

## **7. NON-FULFILMENT OF THE DISCLOSURE OBLIGATIONS**

- 7.1** The Company is absolved of all liability for the non, incomplete or untimely fulfilment by Covered Persons or Covered Shareholders of their obligations under this Policy and any regulations as applicable.
- 7.2** Any agreement with the Company to carry out, by this latter, the established communications does not absolve the Addressees of liability in relation to their obligations established by this Policy or the regulations as applicable. In particular, Covered Persons and Covered Shareholders are in any case required to ensure that the Appointed Officer receives the communications at the previous Articles 4.3 and 4.4 and that the communications to Consob and to the market are made in a timely manner.
- 7.3** The fulfilment of the provisions of this Policy does not exempt, in any case, Addressees from the obligation to comply with regulations as applicable, such as, for example purposes and not to be considered exhaustive, those concerning the communication obligations regarding significant investments and those relating to the abuse of insider information and market manipulation.

**7.4** Non-compliance with the obligations established by this Policy may entail for Covered Persons acting as directors or statutory auditors of the Company or subsidiary companies the withdrawal for just cause of their appointment. Non-compliance with the obligations established by this Policy may entail disciplinary sanctions for Covered Persons who are employees of the Company.

## **8. FINAL PROVISIONS**

**8.1** The Company may make this Policy available on its website in the “Internal Dealing” section.

**8.2** The personal details of Addressees (the “**Personal Details**”) are subject to handling as per the terms, and in execution of the obligations, established by this Policy and the applicable *pro tempore* regulations.

**8.3** This Policy may be amended and/or supplemented by the Board of Directors of the Company. If it is necessary to update and/or supplement individual provisions of the Policy as a result of amendments of applicable laws or regulations, or specific requests made by the Supervisory Authorities, as well as in cases of proven urgency, this Policy may be amended and/or supplemented by the chairman of the board of directors or by the chief executive officer, with subsequent ratification of the amendments and/or supplements by the board of directors at its next meeting. The updated text should be brought to the attention of all Covered Persons and Covered Shareholders, according to the means established by Article 4.2 above and, if applicable, Article 8.1.

**8.4** This version of the Policy is in force from the date of approval by the Board of Directors.

## Annex 1

### *Declaration of acknowledgement and acceptance of the Policy*

The undersigned \_\_\_\_\_ [born in \_\_\_\_\_ on \_\_\_\_\_, resident in, tax no. \_\_\_\_\_] [with registered office in \_\_\_\_\_, VAT No. \_\_\_\_\_, Companies Office Registration No. \_\_\_\_\_], as a [Covered Person/Covered Shareholder], in accordance with the applicable internal dealing policy approved by the Board of Directors of Technogym S.p.A. (the “**Company**”), whose definitions are fully established therein,

#### **declares**

- (i) to have acknowledged identification as a [Covered Person/Covered Shareholder] in accordance with this Policy and the obligations deriving from such identification;
- (ii) to have received the Policy and acknowledged the provisions contained therein, to accept them and to commit to stringently adhere to them;
- (iii) to commit to notify in writing Connected Persons of their obligations in accordance with the applicable internal dealing regulation and the Policy and to retain a copy. In this regard, indicate below the names of Persons Connected [to the Covered Person/to the Covered Shareholder]

<b>Surname and name / Company name (*)</b>	<b>Place and date of birth / Registered office (*)</b>	<b>Tax No.</b>	<b>Connection</b>

(\*) For legal persons.

- (iv) declares to commit to comply with the provisions contained in the Policy and to disclose the Transactions [of Covered Persons/of Covered Shareholders] according to that set out in the Policy (in addition to ensure that the Connected Persons relating to me promptly fulfil their communication obligations under the internal dealing regulations as applicable and the Policy);
- (v) to have received from the Company, as Data Handler, the disclosure as per Article 13 of Legislative Decree No. 196 of June 30, 2003, as subsequently amended and supplemented (the “**Privacy Code**”) and to have been informed orally, or in writing, that:
  - (a) the Personal Data shall be handled only for the specific purposes and within the limits strictly relating to the obligations indicated in the Policy and the regulation, as applicable, and shall be maintained for the period necessary for fulfilment of the purposes for which such has been received;

- (b) is required to provide to the Company only the Personal Data required to fulfil the specific purposes at the previous letter (a), whose non-provision would prevent meeting the above-stated purposes and result in penalties;
- (c) the Personal Data may be communicated to third parties, strictly within the limits established by the purposes and the obligations indicated at the previous letter (a);
- (d) subject to the guarantees at Article 7 of the Privacy Code, including:
- the right to receive confirmation of the existence or otherwise of the Personal Data, even if not yet recorded;
  - the right to know the origin, the purposes and the means of handling, the policy applied in the case of handling through the support of electronic instruments, the identity details of the handler, of the parties responsible and the parties or the categories of parties to which the Personal Data may be communicated, or which may become aware of such within the limits stated above;
  - the right to obtain (i) the updating, the adjustment or, where having an interest, the supplementation of the Personal Data, (ii) the cancellation, the conversion into anonymous form or the blocking of Personal Data handled in violation of this Policy and the *pro tempore* regulation as applicable, in addition to (iii) the declaration that these transactions were brought to the attention of those to whom the Personal Data was communicated or circulated, except in the case where such verification is not possible or results in a significantly disproportionate burden in relation to the right protected;
  - the right to oppose, for legitimate reasons, the handling of the Personal Data, even if relevant to the purposes for which such has been received;
- (vi) to express, through signing this document in accordance with Article 23 of the Privacy Code, consent to the handling of Personal Data by the Company within the limits and according to the means indicated at point (iv) above.

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Place

Date

Name

## Annex 2

### *Form for the communication of information upon Transactions of Covered Persons*

<b>1</b>	<b>Dati relativi alla persona che esercita funzioni di amministrazione, di controllo o di direzione/alla persona strettamente associata</b>	
a)	Nome	[Per le persone fisiche: nome e cognome.] [Per le persone giuridiche: denominazione completa, compresa la forma giuridica come previsto nel registro in cui è iscritta, se applicabile.]
<b>2</b>	<b>Motivo della notifica</b>	
a)	Posizione/qualifica	[Per le persone che esercitano funzioni di amministrazione, di controllo o di direzione: indicare la posizione (ad esempio, amministratore delegato, direttore finanziario) occupata all'interno dell'emittente, del partecipante al mercato delle quote di emissione, della piattaforma d'asta, del commissario d'asta, del sorvegliante d'asta.] [Per le persone strettamente associate, — indicare che la notifica riguarda una persona strettamente associata a una persona che esercita funzioni di amministrazione, di controllo o di direzione; — nome e cognome e posizione della pertinente persona che esercita funzioni di amministrazione, di controllo o di direzione.]
b)	Notifica iniziale/modifica	[Indicare se si tratta di una notifica iniziale o della modifica di una precedente notifica. In caso di modifica, spiegare l'errore che viene corretto con la presente notifica.]
<b>3</b>	<b>Dati relativi all'emittente, al partecipante al mercato delle quote di emissioni, alla piattaforma d'asta, al commissario d'asta o al sorvegliante d'asta</b>	
a)	Nome	[Nome completo dell'entità.]
b)	LEI	[Codice identificativo del soggetto giuridico, conforme al codice LEI di cui alla norma ISO 17442.]
<b>4</b>	<b>Dati relativi all'operazione: sezione da ripetere per i) ciascun tipo di strumento; ii) ciascun tipo di operazione; iii) ciascuna data; e iv) ciascun luogo in cui le operazioni sono state effettuate</b>	
a)	Descrizione dello strumento finanziario, tipo di strumento Codice di identificazione	[— Indicare la natura dello strumento: — un'azione, uno strumento di debito, un derivato o uno strumento finanziario legato a un'azione o a uno strumento di debito; — una quota di emissione, un prodotto oggetto d'asta sulla base di quote di emissione o un derivato su quote di emissione. — Codice di identificazione dello strumento come definito nel regolamento delegato della Commissione che integra il regolamento (UE) n. 600/2014 del Parlamento europeo e del Consiglio per quanto riguarda le norme tecniche di regolamentazione sulla segnalazione delle operazioni alle autorità competenti adottato a norma dell'articolo 26 del regolamento (UE) n. 600/2014.]
b)	Natura dell'operazione	[Descrizione del tipo di operazione utilizzando, se necessario, i tipi di operazioni stabiliti dall'articolo 10 del regolamento delegato (UE) 2016/522 (1) della Commissione adottato a norma dell'articolo 19, paragrafo 14, del regolamento (UE) n. 596/2014 oppure uno degli esempi specifici di cui all'articolo 19, paragrafo 7, del regolamento (UE) n. 596/2014. A norma dell'articolo 19, paragrafo 6, lettera e), del regolamento (UE) n. 596/2014, indicare se l'operazione è legata all'utilizzo di programmi di opzioni su azioni]

c)	Prezzo/i e volume/i	Prezzo/i	Volume/i
<p>[Se più operazioni della stessa natura (acquisto, vendita, assunzione e concessione in prestito ecc.) sullo stesso strumento finanziario o sulla stessa quota di emissione vengono effettuate nello stesso giorno e nello stesso luogo, indicare in questo campo i prezzi e i volumi di dette operazioni, su due colonne come illustrato sopra, inserendo tutte le righe necessarie.</p> <p>Utilizzare gli standard relativi ai dati per il prezzo e la quantità, comprese, se necessario, la valuta del prezzo e la valuta della quantità, secondo la definizione del regolamento delegato della Commissione che integra il regolamento (UE) n. 600/2014 del Parlamento europeo e del Consiglio per quanto riguarda le norme tecniche di regolamentazione sulla segnalazione delle operazioni alle autorità competenti adottato a norma dell'articolo 26 del regolamento (UE) n. 600/2014.]</p>			
d)	Informazioni aggregate — Volume aggregato — Prezzo	<p>[I volumi delle operazioni multiple sono aggregati quando tali operazioni:</p> <ul style="list-style-type: none"> <li>— si riferiscono allo stesso strumento finanziario o alla stessa quota di emissione;</li> <li>— sono della stessa natura;</li> <li>— sono effettuate lo stesso giorno e</li> <li>— sono effettuate nello stesso luogo;</li> </ul> <p>Utilizzare gli standard relativi ai dati per la quantità, compresa, se necessaria, la valuta della quantità, secondo la definizione del regolamento delegato della Commissione che integra il regolamento (UE) n. 600/2014 del Parlamento europeo e del Consiglio per quanto riguarda le norme tecniche di regolamentazione sulla segnalazione delle operazioni alle autorità competenti adottato a norma dell'articolo 26 del regolamento (UE) n. 600/2014.]</p> <p>[Informazioni sui prezzi:</p> <ul style="list-style-type: none"> <li>— nel caso di un'unica operazione, il prezzo della singola operazione;</li> <li>— nel caso in cui i volumi di operazioni multiple siano aggregati: il prezzo medio ponderato delle operazioni aggregate.</li> </ul> <p>Utilizzare gli standard relativi ai dati per il prezzo, compresa, se necessaria, la valuta del prezzo, secondo la definizione del regolamento delegato della Commissione che integra il regolamento (UE) n. 600/2014 del Parlamento europeo e del Consiglio per quanto riguarda le norme tecniche di regolamentazione sulla segnalazione delle operazioni alle autorità competenti adottato a norma dell'articolo 26 del regolamento (UE) n. 600/2014.]</p>	
e)	Data dell'operazione	<p>[Data del giorno di esecuzione dell'operazione notificata.</p> <p>Utilizzare il formato ISO 8601: AAAA-MM-GG; ora UTC.]</p>	
f)	Luogo dell'operazione	<p>[Nome e codice di identificazione della sede di negoziazione ai sensi della MiFID, dell'inter-nalizzatore sistematico o della piattaforma di negoziazione organizzata al di fuori dell'Unione in cui l'operazione è stata effettuata come definiti dal regolamento delegato della Commissione che integra il regolamento (UE) n. 600/2014 del Parlamento europeo e del Consiglio per quanto riguarda le norme tecniche di regolamentazione sulla segnalazione delle operazioni alle autorità competenti adottato a norma dell'articolo 26 del regolamento (UE) n. 600/2014, o</p> <p>se l'operazione non è stata eseguita in una delle sedi di cui sopra, riportare -al di fuori di una sede di negoziazione-.]</p>	
<p>(*) Regolamento delegato (UE) 2016/522 della Commissione, del 17 dicembre 2015, che integra il regolamento (UE) n. 596/2014 del Parlamento europeo e del Consiglio per quanto riguarda l'esenzione di taluni organismi pubblici e delle banche centrali di paesi terzi, gli indicatori di manipolazione del mercato, le soglie di comunicazione, l'autorità competente per le notifiche dei ritardi, il permesso di negoziare durante periodi di chiusura e i tipi di operazioni effettuate da persone che esercitano funzioni di amministrazione, di controllo o di direzione soggette a notifica (cfr. pag. 1 della presente Gazzetta ufficiale).</p>			

### Annex 3

#### Form for the communication of information upon Transactions of Covered Shareholders

Modello di notifica e di comunicazione al pubblico delle operazioni effettuate da chiunque detiene azioni in misura almeno pari al 10 per cento del capitale sociale, nonché ogni altro soggetto che controlla l'emittente quotato

<b>1</b>	<b>Dati relativi al soggetto che detiene azioni in misura almeno pari al 10 per cento o che controlla l'emittente quotato o alla persona strettamente legata</b>	
a) <sup>1</sup>	Nome	<i>Per le persone fisiche:</i> Nome: Cognome:  <i>Per le persone giuridiche:</i> Denominazione:
<b>2</b>	<b>Motivo della notifica</b>	
a)	Motivo della notifica	<i>Soggetto che detiene azioni in misura almeno pari al 10 per cento dell'emittente quotato:</i> <input type="checkbox"/>  <i>Soggetto che controlla l'emittente quotato:</i> <input type="checkbox"/>  ----- <i>Soggetto strettamente legato</i> <input type="checkbox"/>  Indicare che la notifica riguarda una persona strettamente legata a: <i>Per le persone fisiche:</i> Nome: Cognome:  <i>Per le persone giuridiche:</i> Denominazione:
b) <sup>2</sup>	Notifica iniziale/modifica	Notifica iniziale: <input type="checkbox"/>  Modifica della precedente notifica  Motivo della modifica:

<sup>1</sup> Dati relativi al soggetto che effettua l'operazione

[Per le persone fisiche: nome e cognome.]

[Per le persone giuridiche: denominazione completa, compresa la forma giuridica come previsto nel registro in cui è iscritta, se applicabile.]

<sup>2</sup> [Indicare se si tratta di una notifica iniziale o della modifica di una precedente notifica. In caso di modifica, spiegare l'errore che viene corretto con la presente notifica.]

<b>3</b>	<b>Dati relativi all'emittente</b>					
a) <sup>3</sup>	Nome					
b) <sup>4</sup>	LEI					
<b>4</b>	<b>Dati relativi all'operazione: sezione da ripetere per i) ciascun tipo di strumento; ii) ciascun tipo di operazione; iii) ciascuna data; e iv) ciascun luogo in cui le operazioni sono state effettuate</b>					
a)	Descrizione dello strumento finanziario, tipo di strumento  Codice di identificazione					
b) <sup>5</sup>	Natura dell'operazione					
c) <sup>6</sup>	Prezzo/i e volume/i	<table border="1"> <thead> <tr> <th>Prezzo/i</th> <th>Volume/i</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> </tbody> </table>	Prezzo/i	Volume/i		
Prezzo/i	Volume/i					
d) <sub>7</sub>	Data dell'operazione					
e)	Luogo dell'operazione	Nome della sede di negoziazione: Codice di identificazione: «Al di fuori di una sede di negoziazione»: <input type="checkbox"/>				

<sup>3</sup> [Nome completo dell'entità.]

<sup>4</sup> [Codice identificativo del soggetto giuridico, conforme al codice LEI di cui alla norma ISO 17442.]

<sup>5</sup> [Acquisto, vendita, sottoscrizione o scambio].

<sup>6</sup> [Se più operazioni della stessa natura vengono effettuate nello stesso giorno e nello stesso luogo, indicare in forma aggregata il volume complessivo e il prezzo medio ponderato di dette operazioni].

<sup>7</sup> [Data del giorno di esecuzione dell'operazione notificata. Utilizzare il formato ISO 8601: AAAA-MM-GG; ora UTC.]